



**Katerina (Katie) Mills assists with a range of financial services and digital asset regulatory matters, transactions, compliance, and licensure. Knowledgeable and driven, Katie works collaboratively with her colleagues and clients to find flexible and comprehensive solutions to meet clients' needs.**

She advises on day-to-day compliance issues, anti-money laundering and financial institution regulatory matters, payment products and cryptocurrency regulations, and issues involving financial technology products. She also has a deep understanding of digital assets and blockchain technology, as well as digital asset regulatory compliance, instrument design, and market microstructure.

Katie is skilled in designing and implementing analytical compliance frameworks for cutting-edge digital asset fintech companies. One of her strengths is in making complex regulatory issues understandable and surmountable assisting clients to design compliant, industry leading, and innovative products.

Before joining Barnes & Thornburg, Katie was a corporate counsel and director of compliance for Custodia Bank (f/k/a Avanti Financial Group, Inc.) and corporate counsel for Salt Blockchain Inc. She was responsible for compliance strategy and implementation, regulatory risk analysis, licensure and registration, as well as digital asset and instrument analysis. Katie has designed and implemented full-suite Bank Secrecy Act (BSA), Know Your Customer (KYC), Anti-Money Laundering (AML), Know Your Transaction (KYT), and fiat transactional monitoring systems and completed 50-state product and licensure analyses for financial services companies in the digital asset space.

Notably, Katie is a Certified Anti-Money Laundering Specialist (CAMS), Certified Financial Crime Specialist (CFCS), and holds several foundational cryptocurrency certifications.

While earning her first undergraduate degree, Katie was a full scholarship athlete on the UCLA women's volleyball team, where she earned PAC-10 Honors.

## Katerina (Katie) Mills

### Counsel

2029 Century Park East  
Suite 300  
Los Angeles, CA 90067

P 310-284-3830  
F 310-284-3894  
Katie.Mills@btlaw.com

### EDUCATION

University of Colorado at Boulder,  
(Leeds School of Business, M.S.B.A.),  
finance, Full Academic Scholarship,  
Gerald Hart Scholarship, 2019

University of California, Los Angeles,  
(B.A.), mass communications and media  
studies, cum laude, 2011

University of Denver, (M.A.), economics,  
Social Science Dean's Scholarship,  
2014

University of Denver, (J.D.), Provost's  
Scholarship, Gerald N. Mellman  
Endowed Scholarship, 2013

University of Denver, (B.A.),  
mathematics with minor in Russian  
language, 2015

### BAR ADMISSIONS

California

Colorado

Illinois

Wisconsin

### LANGUAGES

English

### PRACTICES

Corporate

Financial and Regulatory Litigation

Private Funds and Asset Management

### INDUSTRIES

Entertainment

**Professional and Community Involvement**

Coach, The Diff Volleyball Club, 16's/17's Women's Club Team and Mental Toughness Program

Former Professor of Business, Clarke University

Former mathematics and economics tutor, University of Denver and High Performance Tutoring

**Certifications**

Certified Anti-Money Laundering Specialist (CAMS)

Certified Financial Crime Specialist (CFCS)

**Honors**

The Best Lawyers in America, Ones to Watch, 2024

- Financial Services
- Fintech
- Media
- Sports